

Inventa™ & ATS

1touch.io® Inventa™ offer ATS operators a complete insight into all subscriber data ensuring compliance with data regulatory requirements thereby avoiding large fines and providing privacy, security, and peace of mind to the subscribers.

THE CHALLENGE

Due to growing interest, value, number of providers and subscribers - regulatory requirements around the ATS market are becoming increasingly stringent, with oversight by the SEC as well as other national authorities. Transparency rules for ATSS encourage more disclosure around services, fees, market data, orders and matching logic with the SEC adopting a series of amendments imposing public disclosure requirements on ATSS.

July 2014

Global investment bank pays record fine over alternative trading system, settles charges that it failed to protect subscriber data

5M US\$ FINE

October 2016

Global investment bank fined by SEC for failing to treat subscriber order data confidentially failing to disclose that confidential order information was being transmitted to other systems

30M US\$ FINE

In order to comply with existing and new regulatory requirements, ATS operators must closely monitor and track subscriber data at all times. They must ensure that that ATS records are strictly segregated from other types of financial information, and know all the information surrounding every ATS record in their systems, including:

- **Which data is specifically associated with ATSS**
- **Subscriber fees, transactions, orders & services**
- **The exact location of all ATS record instances**
- **Source and target locations of ATS data transfers**

Inventa™ is the Future of Data Aware Security

Inventa is the only data discovery platform that automates the entire discovery process—completely hands free using a network first approach coupled with AI and NLP sensors. With Inventa, sensitive data is discovered and tracked continuously, supporting data classification, lineage identification, and ongoing monitoring of transactions into and out of the organizational network.

VIEW ATS INSTANCES

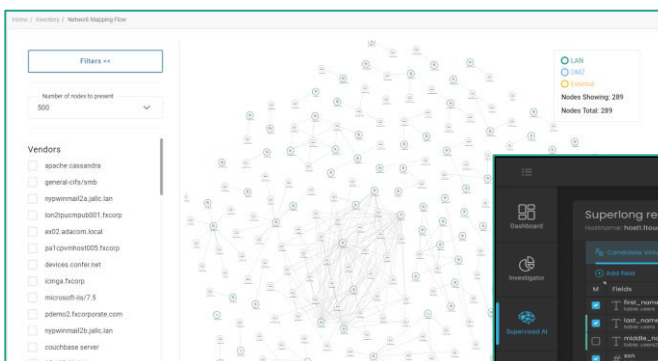
With 1touch.io Inventa, ATS operators have real-time insight into the location of all subscriber information, supporting current and future regulatory requirements.

ATS SEGREGATION

Using the Inventa platform, operators can show regulators and customers that data is segregated and protected in the organization's network.

CONTROL ATS DATA

Inventa scans and identifies subscriber data in structured & unstructured files, across multiple databases and network protocols.



Discover and map the location of all sensitive data copies in your organizational network

Identify data history for each data subject, and track data transfer into, within, and out of your organizational network

